

February 4, 2021

**ARKANSAS SECURITIES DEPARTMENT REMINDS REGULATED FIRMS
OF CUSTOMER OBLIGATIONS
AND INVESTORS ABOUT RISKS OF STOCK PRICE VOLATILITY**

LITTLE ROCK, AR – Arkansas Securities Commissioner Eric Munson today called on firms and other regulated entities to ensure that investor protection obligations are met during recent price volatility in shares of certain publicly traded companies.

“Regulated entities have an obligation to their customers. The Arkansas Securities Department will work with fellow regulators to ensure that investor protection, fairness and transparency are upheld in the public securities markets,” said Commissioner Munson. “We are closely monitoring this developing situation and will examine actions by online brokerages and others to ensure that they are in compliance with their client obligations.”

Commissioner Munson also cautioned investors about the risks associated with investing in publicly traded companies that are experiencing volatility. “Investing in companies experiencing price volatility can be risky and investors should understand their risk tolerance when considering such investments,” Commissioner Munson said.

Investors can learn more about the fundamentals of investing by visiting the website of the Arkansas Securities Department at <http://www.securities.arkansas.gov/page/341/investor-education>